Full Proposal for a Dual Ph.D. in History

between Rice University, USA

and the

Universidade Estadual de Campinas, Brazil

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I. Rationale

Academic Objectives

Primary: This proposed collaboration between the History Department at Rice and the Departamento de História of the Universidade Estadual de Campinas (UNICAMP) has as its primary academic objective the creation of a dual degree within the existing Ph.D. programs in History at both universities. It is a program that seeks to train and nurture the next generation of scholars who will be truly international in their research, teaching, and administrative goals. It emerges from the belief that international collaboration will advance scholarship by stimulating global perspectives and that graduate students must be prepared for careers in the increasingly internationalized academic institutions of the future.

Secondary: In addition to the dual degree, this program will create an international community of scholars that will enrich the graduate education of students from both institutions. The program will include a regular research seminar in which faculty and graduate students in the history departments at Rice and UNICAMP may participate, thus extending the benefits of the exchange beyond the individual students seeking dual degrees. This program will encourage faculty to develop close ties to their counterparts at Rice and UNICAMP.

Tertiary: Through this program we intend to create an scholarly opportunity that does not exist elsewhere and that will be a unique academic signature of the history departments at Rice and UNICAMP.

How is this different? This program is distinct from the existing graduate programs at both universities in its emphasis on international collaboration and international graduate study. It enables faculty and graduate students from both institutions to immerse themselves in cutting-edge historical research and writing by American and Brazilian historians. By promoting a truly international approach, our dual degree program addresses a key problem in graduate education, that being a deep and ingrained focus on the research and writing of national histories.

Rice UNICAMP History There is already a well developed relationship between Rice and UNICAMP. This clear history of sustained interest at both institutions at the departmental and administrative levels (see below) ensures a successful outcome of this proposed dual degree program.

Fall 2009 President Fernando Ferreira Costa of UNICAMP visited Rice

Spring 2010 a delegation from Rice led by President Leebron visited UNICAMP. Formal documents initiating an undergraduate exchange were signed. Formal discussions of possibilities for exchanges and cooperation with members of the History Department at UNICAMP were held.
Fall 2010 Ricardo Pirola, a graduate student from UNICAMP was invited to give a talk at Rice and to attend the graduate student symposium.

Spring of 2011 Rice Professor Rebecca Goetz was invited to UNICAMP where she gave a three-hour seminar on her research.

Summer of 2011 Rice Dean of Humanities Nicolas Shumway visited UNICAMP to see if a formal agreement between the two universities leading to a dual degree program would be possible. On his return, Dean Shumway reported that there was interest at the administrative level for a graduate exchange leading to a dual Ph.D. program.

Fall 2011 Alida Metcalf (Rice) and Silvia Hunold Lara (UNICAMP) began designing the dual degree program. Metcalf and Lara worked extensively with their respective administrations: Metcalf has met with Paula Sanders, David Vassar, and Nicolas Shumway at Rice, and Lara with equivalent administrators at UNICAMP.

Fall 2011 Metcalf presented the idea of the dual degree to the Graduate Committee of the History Department and to the Department of History, who endorsed it with enthusiasm.

Fall 2011 Lara presented the idea of the dual degree to the History Department of UNICAMP, who endorsed it with enthusiasm.

Spring 2012 UNICAMP faculty member Robert Slenes applied to and was accepted by the Rice Seminar in the Humanities: Human Trafficking. He will be at Rice for the seminar in AY 2013.

Spring 2012 Metcalf and two history graduate students, Amanda Moehnke and Angela Prattas, traveled to UNICAMP. The proposed dual degree program was discussed in depth in a three-hour meeting. Both students presented papers on their dissertation research at the UNICAMP history seminar and received valuable feedback from faculty and students. Metcalf presented a paper on the digital humanities research project on Rio de Janeiro that she and Farès el-Dahdah are developing at Rice.

Spring 2012 Rice History Department submitted a pre-proposal for the dual degree program to the Graduate Council. The Graduate Council reported a “high level of enthusiasm” for the proposed program and recommended that the History Department submit a full proposal.

Summer 2012 A UNICAMP graduate student applied for admission to the Rice graduate program in history and was accepted. She will be in residence for the 2012-2013 academic year. If the dual degree is approved, she will be the first student in the program.

Summer 2012 A second UNICAMP graduate student applied for admission to Rice as a visiting Post-Baccalaureate Student. She was accepted. This student will be at Rice for one semester (Fall 2012) and will be affiliated with the Rice Seminar in the Humanities: Human Trafficking.

Fall 2012 Rice History Department submits full proposal to the Faculty Senate

Peer Institutions This dual degree program offers a different kind of doctoral training. In the usual Ph.D. program in Latin American history in the United States, graduate students complete all of their coursework in their home institution, and typically spend one year in Latin America conducting archival work. This research year is often a very isolating experience, as the graduate student is on his or her own. In our proposed program, our graduate students will become a part of an academic community in Brazil, will become conversant with and participate in historiographical
debates taking place in Brazil, and will become part of a cohort of graduate students in the two
countries. When it is time to conduct archival research, they will do so, but in far less isolation.
Because of the networks they will have established, they will remain in conversation with their
graduate student colleagues and with their professors in Brazil. In the usual Ph.D. program in
Brazilian history in Brazil, graduate students complete all of their coursework in their home
institutions, and may spend a “sandwich” year abroad doing research. This “sandwich” year can also
be an isolating experience for the Brazilian student. In our proposed program, during the
“sandwich” year, the Brazilian graduate student will be fully integrated into the Ph.D. program at
Rice, such that he or she will become a part of the Rice academic community and will contribute to
and benefit from scholarly conversations among the cohort of graduate students at Rice. Because of
the networks they have established, they will remain in conversation with their graduate student
colleagues and with their professors at Rice when they return to Brazil.

Rice’s Mission The proposed program is aligned with Rice’s strategic priorities, as expressed in
V2C, which seeks a “more significant orientation” towards Latin America, the development of
international research, increased student exchanges, the development of relationships with
distinguished universities, and the fostering of international learning at Rice among faculty,
students, and staff. While the number of international students pursuing graduate degrees at Rice is
high, that is not the case in the Humanities generally, nor in the History program specifically. This
proposed program, based on reciprocity between Rice and UNICAMP, will bring Brazilian
graduate students to study at Rice and it will send American graduate students to study in Brazil.
We believe that a dual degree program is an excellent way to achieve a greater international focus
for Rice’s graduate program in history. Not only will it benefit the students enrolled in the dual
degree track, but it will allow all Rice graduate students in history to benefit from the proposed
seminars, the proposed faculty exchanges, and the proposed presence of Brazilian students in their
graduate seminars.

Career Prospects Graduates of the dual degree program will be highly qualified and unique
candidates in what we expect to remain a difficult job market in History in both Brazil and the
United States. Their exposure to international collaboration will be a plus for careers in research,
teaching, foreign service, and international industry, all of which will, by necessity, be increasingly
global. A graduate of this program will bring a high level of international sophistication, linguistic
skills, and scholarly expertise to academic institutions, as well as to their research and teaching.

II. Curriculum

Nature of the degree: Students who successfully complete the program will receive a Ph.D. in
History from Rice and a Doutor em História from UNICAMP.

Essential Elements of the proposed dual degree Within the existing graduate programs a small
number of students from each program may apply to participate. The dual Ph.D. degree program
will be tailored for each student and will require completing graduate level coursework first at the
home and then at the partner institution, as well as the writing and defense of a dissertation that is
co-directed by two advisors, one from each institution.
Dual vs. Joint Ph.D.

Dual and Joint degree programs are common forms of collaborative academic arrangements and both are accepted by the Southern Association of Colleges and Schools. The essential difference between them is:

A dual educational program is one whereby students study at two or more institutions, and each institution awards a separate program completion credential bearing only its own name, seal, and signature.

A joint educational program is one whereby students study at two or more institutions, and are awarded a single program completion credential bearing the names, seals and signatures of each of the participating institutions.

[SACSCOC Collaborative Academic Arrangements: Policy and Procedures, Policy Statement]

In a dual degree program, the student receives two degrees, one from each institution. In the joint degree program, the student receives one degree, stamped by both institutions.

We have selected the dual degree for the following academic reasons:

(1) the student who completes this program will have undertaken significantly more coursework than for a single Ph.D.

(2) the student who completes this program will have been immersed in two academic communities and will be expected to meet the rigorous standards of both.

(3) the student who completes this program will have a significantly richer research experience.

(4) the student who completes this program will have a significantly enhanced language proficiency.

(5) the student who completes this program can expect to have a significantly more international career than students who pursue the normal doctorate at their home institution.

There are administrative differences between the dual and joint degrees. In the dual degree, each university maintains its own policies, issues its own degree, and is accredited by its established procedures. In the joint degree, comparable accreditation must be secured by both institutions.

We have selected the dual degree for the following administrative reasons:

(1) Rice University is accredited by the SACS (Southern Association of Colleges and Schools) and this accreditation is not automatically transferable to a partner institution.

UNICAMP is accredited by CAPES (Coordenação de Aperfeiçoamento de Pessoal de Nivel Superior), an agency of the Brazilian Ministry and this accreditation is not automatically transferable to a partner institution.
(2) Under a joint degree program, Rice and UNICAMP would first have to meet the requirements of the SACS COC (Commission on Colleges) Substantive Change Policy, which requires a substantial administrative review, before the program would be begin.

(3) Under a dual degree program, prior notification is required, but prior approval from SACSCOC is not needed.

(4) Dual degree programs, therefore, require substantially less administrative oversight.

(5) Because they are easier to administer, existing faculty and staff will be able to absorb the administrative duties of dual degree programs without the hiring of additional support staff.

**Are two degrees justified?** Students in this track will be expected to complete significantly more work than students in the regular Ph.D. program in the history departments at Rice and UNICAMP. Because a successful student must meet the standards of two separate departments in two very different universities, we argue that this considerable effort, commitment, and output is worthy of two degrees. Students in the program must first complete the requirements for their home institution. They can expect to spend at least one additional year on coursework and research abroad. Moreover, they must work with two advisors on their dissertations.

**Additional requirements for coursework**
Two to three semesters of full-time study in the partner institution will be required, thereby adding at least one additional year of graduate level course work. Students will complete this work entirely in the language of the host country. Brazilian students at Rice will submit their seminar papers in English; American students at UNICAMP will submit their seminar papers in Portuguese.

**Additional requirements for research**
More extensive research that will require the sophisticated use of primary and secondary sources in English and Portuguese (other languages may also be necessary, depending on the research topic).

**Additional travel and cultural exposure**
Students will become part of two academic communities: one at Rice and one at UNICAMP. Students in the program will be expected to travel back and forth as necessary, to understand and meet the academic standards and to follow the administrative procedures in two very different universities.

**Additional requirements for the dissertation**
All students will write a dissertation that is co-supervised by an international dissertation committee with faculty advisors from both institutions. In addition:

For the UNICAMP Ph.D. candidate seeking the dual degree from Rice he or she must:

1. Prepare a summary of the major findings of the dissertation in English. The summary will equivalent in style, scholarship and length to an academic journal article.
2. Present the dissertation, as well as the summary in English, to a Rice faculty panel consisting of three professors (the Rice advisor and two others).

3. At the defense at UNICAMP, respond to the questions and comments presented by the Rice advisor, who will, as part of the defense, summarize the critique of the earlier presentation of the dissertation and summary at Rice.

For the Rice Ph.D. candidate seeking the dual degree from UNICAMP he or she must:

1. Prepare a summary of the major findings of the dissertation, in Portuguese. The summary will be equivalent in style, scholarship and length to an academic journal article.

2. Present the dissertation, as well as the summary in Portuguese to an UNICAMP faculty panel consisting of three professors (the Rice advisor and two others).

3. At the defense at Rice, respond to the questions and comments presented by the UNICAMP advisor, who will, as part of the defense, summarize the critique of the earlier presentation of the dissertation and summary at UNICAMP.

Minimum Requirements for Doctoral Degrees:
The students enrolled in this dual degree program, whether beginning at Rice or at UNICAMP, will meet Rice’s and UNICAMP’s minimum requirements for Doctorate degrees.

Sample Time Line:
For a student beginning at Rice: Students at Rice may or may not enter the Ph.D. program with a master’s degree. On entering the Ph.D. program, they will complete two years of full-time graduate work at Rice, which will include completion of 8 graduate seminars and advanced language study in Portuguese. During their third year they will spend one year doing full time graduate coursework at UNICAMP. During their fourth, fifth, and sixth years they will be co-enrolled at Rice and at UNICAMP as they research and write their dissertations under the co-directorship of their advisors at UNICAMP and at Rice. Advanced research will likely involve archival work carried out in Brazil, and it will be monitored and evaluated by both Rice and UNICAMP faculty. Regular sessions through online chat rooms and videoconferencing will be held. Prior to the defense at Rice, the student will prepare summary of the dissertation in Portuguese that is equivalent in style, scholarship and length to an academic journal article. The student will present the dissertation and the summary in Portuguese to a faculty panel at UNICAMP. The defense at Rice will be open to all members of the UNICAMP community by video conferencing. The final dissertation, with its summary in the second language, will be deposited in both libraries.

For a student beginning at UNICAMP: Students at UNICAMP will have already completed the master’s degree with thesis. On entering the Ph.D. program, they will complete one year of full-time course work at UNICAMP. They will come to Rice as full-time graduate students on site for at least one year and will pursue the same rigorous graduate curriculum as other history graduate students. Brazilian students will be enrolled in at least 9 credit hours at Rice for four semesters. Advanced research will likely involve archival work carried out in Brazil, and it will be monitored and evaluated
by Rice faculty. Regular sessions through on-line chat rooms and videoconferencing will be held. Students in the program will be enrolled at both Rice and UNICAMP as they research and write their dissertations under the co-directorship of their advisors at Rice and at UNICAMP. Prior to the defense at UNICAMP, the student will prepare an article length summary of the dissertation in English that is equivalent in style, scholarship and length to an academic journal article. The student will present the dissertation and the summary in English to a faculty panel at Rice. The defense UNICAMP will be open to all members of the Rice community by video conferencing. The final dissertation, with its summary in the second language, will be deposited in both libraries.

III. Partner Institution

The Universidade Estadual de Campinas (UNICAMP) is ranked second in Brazil (following the Universidade de São Paulo) and third in Latin America by US News and World Report in 2012. Its history program is superior, having received the highest ranking by CAPES (Coordenação de Aperfeiçoamento de Pessoal de Nível Superior), the agency of the Brazilian Ministry of Education. Founded in 1966, UNICAMP is located in the city of Campinas in the state of São Paulo, and funded by the state government of São Paulo. UNICAMP offers undergraduate degrees in 66 majors, including medicine, dentistry, several engineering specializations, basic natural and human sciences, applied sciences, teaching and arts. The admission process is highly competitive with nearly 53,000 candidates for 3,320 incoming undergraduate spots. Admission to the graduate programs is also highly competitive. The History Department at UNICAMP is dynamic and internationally recognized. There are 24 members of the history department at UNICAMP, many of whom are internationally known scholars, such as Sidney Chalhoub, Leila Mezan Algranti, Robert Slenes, and Silvia Hunold Lara. The history department at UNICAMP offers much to Rice. It has a top-notch program in Brazilian history, far superior to any program in the United States. Multiple research projects, which involve students and faculty, are in progress there. These research teams work on projects in Social History, Religion and Culture, Gender & Material Culture, the Social History of Work, the Social History of Africa, and the Politics of Memory, all of which are of interest to faculty and graduate students in the History Department at Rice. Rice faculty members in the history department Alida Metcalf, James Sidbury, and Rebecca Goetz all have professional connections with members of the History Department at UNICAMP.

IV. Students and Academic Standards

Students who apply to participate in the program will be recruited from those who have already demonstrated their success in the graduate programs of each institution.

Rice Graduate Students wishing to pursue the dual Ph.D. with UNICAMP must first apply to the graduate program in history at Rice. Once accepted into the graduate program at Rice, they must make a commitment to studying Portuguese. We would normally expect two full years of study, or the equivalent, such that the student has reached the point where he or she has reading and speaking fluency at the academic level. Students in good standing in the history department graduate program who have had Portuguese and who wish to develop a dissertation topic that would benefit from working in Brazil or with an advisor at UNICAMP may apply for the dual degree program.
**UNICAMP Graduate Students** wishing to pursue the dual Ph.D. with Rice must first apply to the Ph.D. program in history at UNICAMP. Students in the graduate program at UNICAMP who have studied English to the point where they have reading and speaking fluency, and who wish to develop a dissertation topic that would benefit from working in the U.S. and with an advisor at Rice may apply for the dual degree program.

**Admission** UNICAMP students will apply to the Rice graduate program following exactly the same admissions requirement as other graduate students applying to Rice. This includes submitting GRE and TOEFL scores. Additionally, applicants will have a Skype interview in English. Rice students wishing to pursue the dual Ph.D. degree will apply directly to the director of the dual degree program at UNICAMP and will follow the procedures established there for this dual degree program.

**Dismissal from the program.** Faculty at each institution have the right to dismiss students from the dual degree program. Dismissal from the program will follow procedures already established by the Office of Graduate and Postdoctoral Studies and used by the Graduate Studies Committee of the History Department at Rice University and the comparable procedures already established and used by the History Department at UNICAMP.

Students who are in good standing at one institution but not the other or who, or who after enrolling, choose to pursue only a single degree at their home institution, or who are dismissed from the dual degree program by faculty following the accepted procedures of student review stated above, will be able to withdraw without penalty from the dual Ph.D. program. Such students, provided that they meet all of the standards and requirements set by their home institution, may receive the usual, single Ph.D. degree from their originating institution.

**V. Learning**

We envision the following four learning outcomes:

1. **Oral and written fluency at the academic level in English and Portuguese.** This means that graduate students in the program will be able to write and present orally at the level expected for Ph.D. students at UNICAMP and at Rice.

2. **Knowledge of their field.** Graduate students in the program will be widely read in the historical literature relevant to their research topic in English and Portuguese.

3. **Research skills.** Graduate students in the program will be to work in archives and libraries in the United States and Brazil; they will be able to do original research in relevant primary sources in both languages.

4. **Academic diversity.** Graduate students in the program will understand two distinct academic traditions and will learn from both.

To comply with SACSCOC accreditation requirements, as well as best practices in curriculum design, an assessment plan for this dual degree program has been developed in consultation with the Office of Institutional Effectiveness at Rice University. The learning outcomes of the students in the program will be assessed according to this plan. See “DUAL Ph.D. in History between Rice University and UNICAMP Assessment Plan,” attached. Students in the dual degree program will maintain a portfolio
of their written work that will be kept at Rice by the Graduate Program Coordinator in the History Department. An annual examination of each student portfolio will be conducted in May of each year.

VI. Faculty and Courses

There is a critical mass of faculty at Rice in the Department of History who are interested in this collaboration. Many of these faculty members are tenured. These faculty members include:

Associate Professor Alexander Byrd, Atlantic World; African History  
Associate Professor Ed Cox, Caribbean History  
Assistant Professor Rebecca Goetz, U.S. History—Early America  
Assistant Professor Moramay Lopez-Alonso, Mexican History  
Assistant Professor Kathryn de Luna, African History  
Assistant Professor Caleb McDaniel, U.S. History  
Professor Alida Metcalf, Brazilian History  
Professor James Sidbury, U.S. History; Atlantic World  
Associate Professor Kerry Ward, African History; World History

All of these faculty members at Rice have shared interests in the history of the Atlantic world, slavery, race and ethnicity, and social and cultural history. All of these faculty members currently or will participate in the graduate program in history at Rice.

At UNICAMP, there is also a critical mass of faculty in the department of history who have been working to develop this collaboration. All are tenured professors, all well regarded in their respective areas of interest, and most are fluent or near fluent in English:

Professor Leila Mezan Algranti, Slavery; Women’s History  
Associate Professor Cláudio H. M. Batalha, Labor History; Social History  
Associate Professor Jefferson Cano, Brazilian History; History of Literature  
Professor Sidney Chalhoub, Brazilian history; Urban History  
Professor Silvia Hunold Lara, Brazilian history; Social History  
Professor John Manuel Monteiro, Brazilian history; Ethnohistory  
Associate Professor Lucilene Reginaldo, Atlantic World, African History  
Associate Professor Fernando Teixeira da Silva, Labor History  
Professor Robert W. Slenes, Brazilian history; Social History  
Associate Professor Omar Ribeiro Thomaz, Atlantic World; African History

All of these faculty members at UNICAMP have broad interests in the history of the Atlantic world, slavery, race and ethnicity, and social and cultural history. All of them participate in the graduate program in history at UNICAMP.

Courses

At Rice, students from UNICAMP will be expected to complete:

HIST 575, Introduction to Doctoral Studies  
One research seminar  
One reading seminar
Three additional graduate-level courses of their choice. Graduate courses may be taken outside of the History Department on petition and approval by the Graduate Committee.

At UNICAMP, Rice students will be expected to complete:

- HH172 Tópicos em Teoria da História
- Two seminars in the research area the student has selected (HH199 and HH200 – Seminários de Linha de Pesquisa)
- Two seminars in topics in the concentration area that the student has selected (seminars named Tópicos Especiais em História or Seminário de Grupo Temático)
- One elective seminar outside the research/concentration area selected by the student

VII Resources
The program at Rice will be funded by the Dean of Graduate Studies, the Dean of Humanities, and the Department of History. Rice students will be able to use their Rice fellowship to spend a year at UNICAMP. Rice students will be responsible for applying for external funding, such as from the IIE Fulbright-Hays grant, to underwrite the additional year of archival research that is expected to be needed for their dissertation. This research year is above and beyond the year of coursework that students will complete at UNICAMP. UNICAMP students are responsible for securing the funding for their travel, health insurance, and living expenses during their year of residence at Rice. Rice will not charge tuition and fees to UNICAMP students who have been accepted into the program. UNICAMP will not charge tuition and fees to Rice students accepted into the program.

VIII Financial Support

We attach a business plan that includes projected income and expenditures. Please see the attached budget (covering five years) that has been developed in consultation with the Vice President for Finance in the Rice Budget Office.

IX Program, Governance, and Administrative Procedures

Administration The Graduate Committee of the History Department at Rice and the Director of Graduate Studies in the History Department at Rice will have the responsibility to administer the program at Rice. The History Department will appoint a “point person” — a Rice faculty member on the Graduate Committee—who is responsible for the program, and there will be an equivalent “point person”—an UNICAMP faculty member—selected by the History Department at UNICAMP. The “point persons” will remain in regular email contact to discuss problems and opportunities in the program. The point persons for the first years of the program will be Alida Metcalf at Rice and Silvia Hunold Lara at UNICAMP. There will be a meeting once per year, alternating between Rice and UNICAMP, that will allow the “point persons” to discuss the program in consultation with faculty at their institution. The Graduate Program Coordinator in the History Department at Rice in association with the Office of International Students will be responsible for communicating admissions and visa requirements for UNICAMP students coming to Rice.

Enrollment We expect to admit at least one student each year from UNICAMP beginning in 2012. Rice students will be ready to apply to UNICAMP by 2014.

Advising Each student in the program will have two advisors, one from each institution. The student will work out his or her course of study with each advisor. The student will develop his or her research
proposal for dissertation research with both advisors. Students will be governed by the academic policies of their originating institutions and by those of the partner institution.

**Review**  Each student in the dual Ph.D. program will receive a review at the end of each semester. At Rice, this review of each student’s progress will follow the procedures already used by the Graduate Committee of the History Department. Reviews occur in January and May of each year. At the coursework stage, each faculty evaluates graduate students in their graduate seminars. After the admission to candidacy, each advisor evaluates the progress made by the student in the research and writing of the dissertation. Students in the program who are at the research and writing stage will be required to submit a progress report at the end of each semester to both of their advisors.

**Dismissal**  If the work of a graduate student from UNICAMP is deemed unacceptable at Rice, dismissal from the Ph.D. program at Rice will follow the procedures already established by the Graduate Committee of the History Department, as outlined in the *Official Handbook and Requirements for History Graduate Students* (available online at the History Department website). If the work of a graduate student from Rice is deemed unacceptable at UNICAMP, dismissal from the Ph.D. program at UNICAMP will follow the procedures already established and followed by the History Department of UNICAMP.

**Grievances**  A grievance is a complaint regarding inappropriate conduct by other students, faculty members, or staff. Inappropriate conduct encompasses both inappropriate personal conduct, such as sexual harassment, as well as inappropriate official conduct, such as violation of University policies.

At Rice, specific policies exist to address grievances based on discrimination or sexual harassment and these policies must be followed in situations involving these issues. Students may present a grievance in writing at the lowest appropriate level, typically the department or school. If a satisfactory resolution is not obtained at that level, the student may appeal the outcome of the grievance by presenting the problem at the next administrative level, such as the school, Office of Graduate and Postdoctoral Studies, Provost, or President. Grievances against non-faculty staff members may also be brought to the Employee Relations Director in Rice’s Human Resources office.

The procedures for handling grievances are outlined in the “Guide for Dismissals, Petitions, Appeals, Grievances, and Problem Resolution, published by the Graduate and Postdoctoral Studies office at Rice:  [http://www.graduate.rice.edu/dismissals/](http://www.graduate.rice.edu/dismissals/)


**Degree Requirements for the General Announcements**

Rice will award the Ph.D. to UNICAMP students who have successfully completed the following requirements:

1. Passed their comprehensive examinations and been admitted to candidacy at UNICAMP.
2. Completed 6 graduate-level courses at Rice, of which one must be HIST 575, “Introduction to Doctoral Studies,” one must be a History Research seminar, and one must be a History Reading seminar. Students will be enrolled in at least 9 credit hours at Rice University for four semesters.
3. Written a dissertation in the language of their home institution and a summary in English that is equivalent in style, scholarship and length to an academic journal article.
4. Successfully presented the dissertation, and the summary, in English to a faculty panel at Rice.
5. Successfully defended the dissertation at UNICAMP.

UNICAMP will award the Doutor em História to Rice students who have successfully completed the following requirements:
1. Passed their comprehensive examinations and been admitted to candidacy at Rice.
2. Completed 6 graduate-level courses at UNICAMP, of which must include HH172, “Tópicos em Teoria da História,” two research seminars, two topical seminars and one elective seminar.
3. Written a dissertation in the language of their home institution and a summary in Portuguese that is equivalent in style, scholarship and length to an academic journal article.
4. Successfully presented the dissertation, and the summary, in Portuguese to a faculty panel at UNICAMP.
5. Successfully defended the dissertation at Rice.

General Announcements Language:

Under the Graduate Degree Chart, History Department: “A dual degree option is offered with the Universidade Estadual de Campinas in Brazil”

Under Programs of Study: “A dual degree option is offered with the Universidade Estadual de Campinas in Brazil leading to a Ph.D. from Rice and a Doutor em História from UNICAMP. Students wishing to pursue this option must satisfy all Rice History Department requirements for a Ph.D. and complete the additional requirements that are spelled out in the signed agreements held by the History Departments of Rice and UNICAMP.”

Launch

2012 August The first student expected to participate in the program will arrive at Rice from UNICAMP and will be in residence during the 2012-2013 academic year as a visiting graduate student. Once the program is approved, the student will formally enter the dual degree program.

2012 August - May Rice graduate students begin their study of Portuguese

2013 February A second student from UNICAMP is expected to apply for admission to the program.

2014 The first students from Rice apply to UNICAMP.

Academic Support at Rice University:
Please see the attached letters of support from:
Professor Lora Wildenthal, Chair, Department of History
Professor Nicolas Shumway, Dean, School of Humanities
Professor Paula Sanders, Vice Provost for Academic Affairs and Dean of Graduate and Postdoctoral Studies
Potential liabilities and other risks
Inter-institutional programs sometimes can bring with them special liabilities. All issues related to the safety of Rice students and employees will be addressed when they arise and if deemed appropriate, procedures for resolving them will be developed.

Measures of Progress and Success
This new enrichment to the existing graduate program in history at Rice will require careful monitoring during the first few years to be sensitive to any changes or adjustments that may be necessary. The parameters for success that we have determined at the outset are:

(1) **financial support.** The Chair of the History Department, the Dean of Humanities, and the Vice Provost for Academic Affairs and Dean of Graduate and Postdoctoral Studies are committed to funding this program. Each year the Director of Graduate Studies of the History Department will meet with the chair of the History Department, with the Dean of Humanities, and with the Vice Provost for Academic Affairs and Dean of Graduate and Postdoctoral Studies in advance of the budget planning cycle and spring graduate admissions to review revenue and expenses of the program. If the program is not adequately funded, additional sources of funding will be developed.

(2) **student experience and interest.** Students in the dual degree program will be asked to provide the Graduate Committee of the History Department at Rice a statement on the strengths and weaknesses of the program at the end of each year. These will be reviewed and modifications will be made as necessary.

(3) **faculty seminar.** The Dean of Humanities has allocated funds to support the Rice-UNICAMP seminar, which will alternate between the two universities. This research seminar will explore topics of common interest involving faculty and graduate students from the departments of history at both institutions. A measure of success will be implementation of this seminar in year one and continuation of this seminar in subsequent years.

(4) **department reputation.** We will be monitoring our applications for admission to the graduate program in history at Rice. We expect to see an increase in the number of students applying to our program who have an interest in Brazil.

**Accreditation by the Commission on Colleges of the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC).**

The SACSCOC Collaborative Academic Arrangements policy and procedures have been reviewed and discussed with the Office of Institutional Effectiveness at Rice University. As required, SACSCOC will be notified of this dual degree program at the appropriate time, as spelled out in the Policy Statement.
Student Learning Outcomes:

1. LANGUAGE: Students will demonstrate oral and written fluency at the graduate level in the target languages.

2. KNOWLEDGE: Students will demonstrate knowledge of the historiography on their research topic(s) in the two target languages.

3. RESEARCH: Students will demonstrate the ability to work with archives and libraries abroad; and demonstrate the ability to do research in primary sources in the target languages.

4. ACADEMIC DIVERSITY: Students will demonstrate the ability to work with two advisors showing an understanding of two distinct academic communities.

<table>
<thead>
<tr>
<th>OUTCOME</th>
<th>SLO1: LANGUAGE</th>
<th>SLO2: KNOWLEDGE</th>
<th>SLO3: RESEARCH</th>
<th>SLO4: ACADEMIC DIVERSITY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Embedded Location</td>
<td>Graduate Seminar(s) in partner institution</td>
<td>HIST 575 or HH172 (depending upon institution) and seminars at home and partner institution</td>
<td>Research seminars at both home and partner institution</td>
<td>Seminars at both home and partner institutions</td>
</tr>
<tr>
<td>Measure</td>
<td>Portfolio of Academic Papers</td>
<td>Portfolio of Academic Papers</td>
<td>Dissertation</td>
<td>Dissertation</td>
</tr>
<tr>
<td>Standard</td>
<td>Satisfaction of Instructors</td>
<td>Satisfaction of Instructors</td>
<td>Satisfaction of Doctoral Committee</td>
<td>Satisfaction of Advisors</td>
</tr>
<tr>
<td>Responsible</td>
<td>Instructors of Record</td>
<td>Instructors of Record</td>
<td>Doctoral Committee</td>
<td>Doctoral Advisors</td>
</tr>
<tr>
<td>Timeline</td>
<td>Will be assessed during the program’s second year, 2015</td>
<td>Will be assessed during the program’s second year, 2015</td>
<td>Will be assessed upon completion of first candidate through program</td>
<td>Will be assessed upon completion of first candidate through program</td>
</tr>
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</table>
### Graduate Program Budget

<table>
<thead>
<tr>
<th>Fiscal year</th>
<th>FY10</th>
<th>FY11</th>
<th>FY12</th>
<th>FY13</th>
<th>FY14</th>
<th>FY15</th>
<th>FY16</th>
<th>FY17</th>
<th>FY18</th>
<th>FY19</th>
<th>FY20</th>
</tr>
</thead>
<tbody>
<tr>
<td># of years since formal launch</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
<td>6</td>
<td>7</td>
<td></td>
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<tr>
<td><strong>Value in FY13</strong></td>
<td>26,000 $</td>
<td>85 $</td>
<td>88 $</td>
<td>92 $</td>
<td>96 $</td>
<td>99 $</td>
<td>103 $</td>
<td>108 $</td>
<td>112 $</td>
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<tr>
<td><strong>Growth rate</strong></td>
<td>2.0 %</td>
<td>4.0 %</td>
<td>4.0 %</td>
<td>4.0 %</td>
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</tr>
</tbody>
</table>

**SOURCES**

- **Ora Arnold Fellowship endowment**
  - $ 8,451
  - 0.0 %

- **History department funding**
  - $ 6,265
  - $ 6,516
  - $ 6,776
  - $ 7,047
  - $ 7,329
  - $ 7,622
  - $ 7,927

- **Central Budget funding - conditional**
  - $ 2,649

- **Central Budget Funding for one additional year of medical subsidy**
  - $ 2,222

- **Brazilian grant covering medical insurance subsidy for Brazilian student (assumed)**
  - $ 1,254
  - $ 1,379
  - $ 1,517
  - $ 1,669
  - $ 1,836
  - $ 2,020

- **School of humanities funding: one year of stipend (w/o fringe)**
  - $ 26,000
  - 2.0 %

- **UNICAMP / Rice workshop (School of Humanities)**
  - $ 12,000
  - 0.0 %

- **Application fee for Brazilian student**
  - $ 85

- **Graduate and Postdoctoral Studies**
  - $ 36,610
  - 4.5 %

- **TOTAL SOURCES**
  - $ 56,214
  - $ 58,241
  - $ 64,864
  - $ 68,957
  - $ 71,544
  - $ 79,121
  - $ 116,306
  - $ 120,410

**USES**

- **Rice students**
  - # of Rice students joining the program
  - 1
  - 1
  - 1
  - 1
  - 1
  - 1

- **Total # of Rice students in program**
  - 1
  - 2
  - 3
  - 4
  - 5
  - 6
  - 6

- **# of Rice students on short stay trips**
  - 0
  - 1
  - 1
  - 2

- **# of Rice students on year-long trips**
  - 0
  - 0
  - 1
  - 1

- **One additional year of stipend (w/o fringe)**
  - $ 26,000
  - 2.0 %

- **One additional year of reduced tuition**
  - $ 2,034
  - 4.5 %

- **One additional year of medical subsidy**
  - $ 1,254
  - 10.0 %

- **Travel funds for year abroad**
  - $ 1,500
  - 4.0 %

- **Travel funds for two 2-week stays**
  - $ 4,000
  - 4.0 %

- **Research funds**
  - $ 4,326
  - $ 4,499
  - $ 4,679
  - $ 9,733

- **Visa costs**
  - $ 160

- **UNICAMP students**
  - # of UNICAMP students joining the
  - 1
  - 1
  - 1
  - 1
  - 1
  - 1
  - 1

- **# of UNICAMP students on year-long trips**
  - 1
  - 1
  - 1
  - 1

- **Admission fee waiver**
  - $ 85
  - 4.0 %

- **Tuition waiver**
  - $ 36,610
  - 4.5 %

- **Medical insurance subsidy**
  - $ 1,254
  - 10.0 %

- **Rice faculty going to UNICAMP**
  - 1
  - 1
  - 1
  - 1

- **Total cost of sending Rice faculty**
  - $ 2,200
  - 4.0 %

- **Rice faculty research travel in Brazil or incremental costs originating from**
  - $ 2,805
  - 4.0 %

- **Brazilian faculty coming to Rice**
  - 1
  - 1
  - 1
  - 1

- **Total cost of receiving UNICAMP faculty**
  - $ 1,260
  - 4.0 %

- **Workshop expenses**
  - $ 12,000
  - 0.0 %

- **TOTAL USES**
  - $ 56,214
  - $ 58,241
  - $ 64,864
  - $ 68,957
  - $ 71,544
  - $ 79,121
  - $ 116,306
  - $ 120,410

**Balance**

| Balance | $ - | $ - | $ - | $ - | $ - | $ - | $ 0 | $ 0 | $ 0 |

**Assumptions:**

- Starting in FY14, one Rice student joins the program per year.
- Each Rice student receives one additional year of support (stipend + reduced tuition + medical insurance subsidy) accounted for financially on their 6th year.
- Rice students travel 3 times: 2 short trips, in their 2nd and 5th year, and one full year in their 3rd year.
- Travel funds for Rice students’ short trips include: airfare ($1,500) and 15 days at $160 per diem.

Starting in FY10, one UNICAMP student joins the program per year. UNICAMP students travel once, when they spend their fourth year at Rice.
<table>
<thead>
<tr>
<th>Nomenclature:</th>
<th>Red= Incomplete information</th>
<th>Blue= 2-week stay</th>
<th>Maroon= Year abroad</th>
</tr>
</thead>
</table>

### TRAVEL TIME TABLE

<table>
<thead>
<tr>
<th></th>
<th>FY10</th>
<th>FY11</th>
<th>FY12</th>
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<th>FY16</th>
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</thead>
<tbody>
<tr>
<td><strong>1st Rice student</strong></td>
<td>1st year</td>
<td>2nd year</td>
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<tr>
<td><strong>2nd Rice student</strong></td>
<td>1st year</td>
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<td><strong>3rd Rice student</strong></td>
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<td><strong>4th Rice student</strong></td>
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<td><strong>5th Rice student</strong></td>
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<td><strong>6th Rice student</strong></td>
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<td><strong>7th Rice student</strong></td>
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<tr>
<td><strong>1st Brazilian student</strong></td>
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<td><strong>2nd Brazilian student</strong></td>
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<td><strong>3rd Brazilian student</strong></td>
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<td><strong>4th Brazilian student</strong></td>
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<tr>
<td><strong>8th Brazilian student</strong></td>
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<tr>
<td><strong>9th Brazilian student</strong></td>
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<td>3rd year</td>
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<td>6th year</td>
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</tbody>
</table>

For each UNICAMP student, Rice pays: admission fee, tuition waiver

Each year, one UNICAMP faculty comes to Rice, costing Rice $1,260: per diem for food and misc ($71/day) and lodging ($109/day) for a week (source: General Services Admin)

Each year, one Rice faculty goes to UNICAMP, costing Rice $2.2k in travel ($1.5k), visa ($0.16k) and 7 days of meal per diem ($68) (source: State Department per diem)

- Red= Incomplete information
- Blue= 2-week stay
- Maroon= Year abroad
Review of Additional Questions

1. Rationale:
1.1 Does the program align with Rice’s strategic priorities?

Yes

1.2 Does the proposed thematic focus enhance Rice initiatives in bioscience and health, energy and the environment, and international strategy?

Yes

1.3 Does it support increased Rice research capacity and impact?

Yes

1.4 Does it support other stated goals of Rice’s Mission Statement or the Vision for the Second Century?

Yes

1.5 Does this program help Rice establish and/or reinforce alliances with other key universities, federal agencies, companies, etc., and if so, why are these alliances important for Rice?

Yes

1.6 How does the collaboration create a truly excellent program, and does the collaboration achieve excellence and pre-eminence that could not be achieved at Rice alone?

It offers graduate training in Latin American history not available anywhere else in the US or Brazil.

2. Curriculum:
2.1 Is it a master’s or doctoral program; is it a thesis or non-thesis program?

Ph.D.

2.2 Is the program new or an adaptation of an existing Rice program?

Adaptation of existing program.

2.3 Are the changes to or adaptation of the program substantive? If so they can trigger the need for SACS, AACSB, and other accrediting bodies to take a closer look at these programs. (It is important to consider the implications of changes to the curriculum by contacting the Office of Institutional Effectiveness for guidance.)

Adaptation. As stated in SACS policies, SACS will be notified of the creation of the program once all documents are signed.
2.4 Which courses will make up the curriculum, and how will they be divided between Rice and the partner institution in terms of requirements and electives?

For Rice Students: two years of graduate work at Rice and one year of graduate work at UNICAMP. For UNICAMP Students: completion of MA plus one additional year of doctoral coursework at UNICAMP, followed by one year of graduate coursework at Rice.

2.5 What is the sequence of courses for a hypothetical student?

See above

2.6 What are the languages of instruction?

English; Portuguese

2.7 Does the program confer a dual degree or a joint degree?

dual

2.8 Dual degrees usually allow for counting a number of academic credits multiple times, once towards each degree. What proportion of the study plan is eligible for double counting?

Rice will count one year of graduate-level coursework from UNICAMP; UNICAMP will count one year of graduate-level coursework from Rice.

Rice and UNICAMP will accept a single, co-advised dissertation written in English if the defense is at Rice or in Portuguese if the defense is at UNICAMP.

2.9 If the program confers a dual degree and a thesis is required, does it require one or two theses?

One thesis

2.10 If only one thesis is required, how will the standards be higher than those for a single degree program? A description of how the higher standards will be enforced must be presented, and assurance must be given that, if the thesis is acceptable, both institutions can publish it.

Students will be expected to be able to engage in the historiography of Brazilian and American historians. They must include analysis of primary and secondary sources in both English and Portuguese. They must provide a written summary equivalent in style, scholarship and length to an academic journal article of their dissertation in their second language. They must present the dissertation and the summary at the partner institution prior to the final defense.

2.11 What is the projected duration of the student's enrollment in the program, and how is it appropriate for the dual or joint degree?

Six years, which is one year longer than the expected completion time at Rice

2.12 How is the proposed curriculum appropriate for the purpose and goals of the degree(s) awarded?
It enables the student to perform at the highest academic levels in the USA and Brazil.

3. **Partner Institution:**

3.1 Are there any previous formal agreements, joint research projects, or any other significant interactions between Rice faculty/staff and the proposed institutional partner (i.e., strong partnerships have roots in time-tested relationships that have been successful and also overcome conflict and some failures)?

Yes

3.2 Is establishing the proposed program the best approach to achieve our goal or are there better alternatives, such as establishing or reinforcing student exchange program and establishing or enhancing research collaborations?

Yes, and the dual degree program comes with a joint faculty and graduate student research seminar that enhances research collaboration.

3.3 How does this specific partner institution help the proposed program be more than what it would be if offered only by Rice?

UNICAMP offers depth and breadth in Brazilian history that Rice does not have.

3.4 How high is the quality of the partner institution as measured by national and international rankings, personal knowledge of Rice faculty, and other methods?

Highest quality.

3.5 Does the partner institution have a comparable or better reputation in the subject area compared to Rice?

In the field of history, its reputation is comparable to Rice. In the field of Brazilian history it is far superior to Rice.

3.6 Is the partner program well established?

Yes

3.7 Is the partner institution complementary in research strengths and resources?

Yes

3.8 Does the partner institution have governing academic and compliance policies that are compatible with Rice policy?

Yes

3.9 Are the learning resources (library, laboratories and other university facilities) and student support services of our partner institution comparable to our own?
Not identical, but clearly adequate for this program.

3.10 How do our partner’s students' learning outcomes for this program compare to ours?
This is something that we will be monitoring

3.11 Does our partner have academic program approval processes, including vetting by a faculty group comparable to the Faculty Senate?
At the administrative level, yes.

3.12 Are the admission policies and standards of our partner similar to ours?
Yes, or even more competitive

3.13 Does our partner have compatible procedures for the acceptance of academic credit?
Yes

3.14 Does our partner have compatible practices for awarding credit?
Yes

3.15 Does our partner have consortia relationships and contract agreements that could generate a conflict of interest or other complications?
Not that we know of

3.16 Is the number of academic credits necessary to obtain a degree from our partner compatible with ours?
Yes

3.17 Are standards for handling student records (confidentiality, reliability, etc.) compatible?
Yes

3.18 Is our partner making available to students and the public current academic calendars, grading policies, and refund policies?
Yes

3.19 Does our partner have adequate procedures for addressing written student complaints and is it responsible for demonstrating that it follows those procedures when resolving student complaints?
Yes
4. Students and Academic Standards:
4.1 Does this program allow Rice to attract a critical mass (at least ten over five years) of world-class students?

Yes

4.2 What are the projected initial and steady state enrollments?

One student per year initially (from UNICAMP to Rice) then two students per year (from UNICAMP to Rice and from Rice to UNICAMP)

4.3 How does this program help Rice attract world-class graduate students?

It offers them something unique

4.4 Does the program allow students to enhance their research skills in a global context, expand their research networks, access specialized equipment and expertise, and/or enhance “science diplomacy” skills?

Yes

4.5 How do students get admitted (one admission process or more), and how are the Rice University minimum admission requirements met? A detailed description of the admission process is required from both Rice and the partner institution.

Normal graduate admission processes will be used at Rice.

4.6 How many advisers will the students have (e.g., one at each institution)?

Two: one at each institution

4.7 What are the minimum residency requirements at each institution? (Note that Rice University has minimum standards for graduate degrees—see http://ga.rice.edu/GR_degrees/)

Each student will be required to be physically present at the partner institution for approximately one full year to complete the on-site requirements. All students will be required to be enrolled at Rice University for a minimum of 9 hours of credit for at least 4 semesters and most will be enrolled for longer periods of time at both institutions as part of the formal agreement between Rice and UNICAMP.

4.8 What are the minimum requirements for good academic standing, the minimum GPA, and the minimum grade in required courses at each institution? (Again, the general Rice policy must be followed: http://ga.rice.edu/GR_degrees/).

See the History Department procedures in the The Official Handbook and Requirements for History Graduate Students

4.9 How will qualifying examinations and annual written reviews of academic progress be administered at Rice and the partner institution?
4.10 How will the Rice guidelines for "dismissals, petitions, appeals, grievances, and problem resolution for graduate students" (http://www.graduate.rice.edu/dismissals/) be enforced? 

See the History Department procedures in the *The Official Handbook and Requirements for History Graduate Students*

4.11 What would be the consequences for a student who is in good standing in one institution but not the other?

The student would be dismissed from the dual degree program. Providing they remain a student in good standing at their home institution, they would continue on the track to receive a single degree.

5. Learning: Has the Office of Institutional Effectiveness reviewed and approved the proposal

Yes

6. Faculty and Courses: Have all the faculty in participating departments voted to approve the proposal?

Yes

7. Resources: Will there be need for large commitments for new facilities?

No

8. Financial Support:

8.1 What’s the potential to attract additional funding (from tuition, federal agencies, or other sources)?

The students from UNICAMP will be funded from Brazilian government agencies including CAPES and FAPESP.

8.2 Does the program require substantial investments in personnel (e.g., new program administrators, faculty, instructors, technicians, etc.)?

No

8.3 Does the program require substantial investments in labs, equipment, and other non-personnel resources?

No
8.4 Who pays tuition, tuition remissions, and stipends, and who covers additional costs such as travel expenses incurred by having committees from both institutions?

Tuition and application fees will be waived for all students accepted in the dual degree program. Each student will be responsible for ensuring that they have adequate funds for travel, residence abroad, health insurance, visa, student fees, etc.

8.5 How will Rice's intellectual property policies be enforced? If an alternative policy is required, what needs to be addressed?

NA

9. Administration and Program Governance:

9.1 To which department, school, or other oversight unit does the program report?

Department of History; Dean of Humanities

9.2 Who will be on the oversight committee?

The Graduate Committee of the History Department will be the oversight committee at Rice.

9.3 How will this committee function, and how will its members be selected?

There will be no change

9.4 How will administrative and financial conflicts or disagreements be resolved?

Through negotiation beginning with the Director of Graduate Studies, then moving up to the History Department Chair, then to the Dean of Humanities, and then to the Dean of Graduate and Postdoctoral Studies.

9.5 What additional support is needed from departments, institutes/centers, schools, and the central administration at Rice University?

See budget

9.6 How will the integrity of the processes, procedures and academic offerings of our partner institution be monitored and kept up to accreditation standards?

UNICAMP is evaluated by CAPES in Brazil. We will review these reports as they are released.

10. Degree Requirements for the General Announcements: Does the proposal contain the description that will go into the GA?

Yes

11. Launch:
11.1 How does the timetable for the launch of the program compare to Rice’s and the partner institution’s internal procedures?

We are working closely and will be ready to begin at the same time.

11.2 Do recruitment materials and presentations accurately represent practices and policies of the program?

NA

12. Academic Support at Rice University: Are all letters of support in the proposal?

Yes

13. Potential liabilities and other risks:

13.1 Are there any issues related to the safety of Rice students and employees that will partake in this program?

No

13.2 Are there any significant needs to modify our policies with respect to student admissions, curricular requirements, structure of the program, etc. that might cause problems with students in traditional Rice programs?

No

14. Measures of Progress and Success:

14.1 What metrics will be used to evaluate the progress of the program as a whole?

see assessment document, attached

14.2 What will be the frequency of these evaluations (i.e., annual review and/or milestone assessments after 3, 6, etc. years)?

see assessment document, attached
AGREEMENT FOR COOPERATION BETWEEN THE
UNIVERSIDADE ESTADUAL DE CAMPINAS - BRAZIL
AND RICE UNIVERSITY - UNITED STATES OF AMERICA

The Universidade Estadual de Campinas (UNICAMP) and Rice University (RICE) are mutually interested to carry on their existing academic relationship, in enlarging and broadening their co-operation and in intensifying their collaboration in academic and research-related activities.

The two institutions have discussed the furtherance of these interests and now record their mutual understanding as follows:

I. STUDENT EXCHANGE

1. UNICAMP and Rice University will accept undergraduate students upon the recommendation of their corresponding International Offices.

1.1 UNICAMP will admit students of Rice University into an academic program.

1.2 Rice University will admit students of UNICAMP into an academic program.

1.3 The exchange will have a maximum duration of two semesters. The academic year lasts from March until December at UNICAMP and from August until May at RICE.

1.4 There will be a maximum of 2 (two) exchange students from each university at any time.

2. The home university will select the students to take part in the exchange program.

3. The home university is responsible for the testing of the exchange students' foreign language skills. The language of instruction at UNICAMP is Portuguese. The language of instruction at Rice University is English.

4. The exchange students will remain enrolled at their home university or will be granted a sabbatical term. The students will be enrolled at the receiving university (provided they possess the minimum necessary entry requirements).

5. No tuition will be charged by the receiving university.

6. Travel costs, living expenses and the costs of medical insurance will be borne by the exchange student.
7. The universities cannot guarantee the provision of suitable accommodation for the student, but the receiving university will assist the students in their search for accommodation.

II. EXCHANGE OF ACADEMIC STAFF

The partners intend the following:

1. The presentation of lectures by academic staff from the partner university and the direct exchange of ideas between colleagues, working on special research areas.

2. Participation in scientific conferences and events organized by the partner university.

3. Scientific work on joint research projects.

4. Both universities undertake to care, as far as possible, for the needs of visitors from the partner university and to assist them in finding suitable accommodation.

5. In order that necessary arrangements can be made in a timely manner, special provisions or obligations relating to visits by academic members of staff will be agreed before the beginning of the visit.

6. The home university will continue to pay the normal salary of members of staff during their stay abroad. The receiving university will make no salary payments to the visiting member of staff.

7. The member of staff personally will be responsible for any travel expenses.

III. ORGANISATION AND ADMINISTRATION

1. Each party will nominate an official representative with responsibility for the realisation of the stated objectives.

2. Both parties to the agreement will consult one another whenever they feel it necessary and will attempt to meet at least once a year to discuss possible development of the program and to appraise its success to date.

3. Neither UNICAMP nor Rice University will be responsible or held liable for the actions of the other party for any activity under this Agreement. Furthermore, neither party shall be required to purchase any insurance against loss or damage to any personal property to which this Agreement relates.

4. This agreement comes into immediate effect from the date on which it is signed by both parties. It will remain valid for a period of three years from the date of signing and may be extended upon application by the above mentioned official representative (see III.1).

5. Changes to this agreement can only be made with the written consent of both signatory universities.
6. This agreement may be terminated, with a six months' period of notice, by either party. In such cases the commitments made to staff and students already participating in the program must be honoured.

7. This agreement places no financial obligations on either of the signatory universities. The agreement will be completed in both Portuguese and in English, both of which will be equally valid.

For the
Universidade Estadual de Campinas

Dr. Fernando Ferreira Costa
President

Date: 13/04/2010

For
Rice University

Prof. David W. Leebron
President

Date:
ADDENDUM #2 to the AGREEMENT of COOPERATION between the STATE UNIVERSITY OF CAMPINAS (Campinas, São Paulo, Brazil) and RICE UNIVERSITY (Houston, Texas, USA) for the EXCHANGE OF FACULTY, RESEARCHERS AND GRADUATE STUDENTS

The Universidade Estadual de Campinas, hereafter UNICAMP, represented by its Magnífico Reitor Professor Dr. Fernando Ferreira Costa, and Rice University, hereafter Rice, represented by its President Professor Dr. David Leebron, wishing to add to the Agreement of Cooperation between the two universities, declare, through this Addendum #2, the intention to work together to strengthen historical research in each institution and to develop academic relations that allow for the exchange of information and original research. This will be done through the establishment of a graduate exchange program, which includes the following activities:

a) hold Rice – UNICAMP Research Seminars on topics of common research and / or mutual interest involving faculty and graduate students from the Departments of History at UNICAMP and Rice;

b) collaborate on research projects developed by faculty and graduate students from both departments;

c) develop a program of joint supervision resulting in a dual doctoral degree in History, to be formalized according to Rice University’s policies for foreign students enrolled at Rice, and by Resolution CONSU-A-08, March 25 2008 (UNICAMP - BRAZIL) for students enrolled at UNICAMP.

To implement these activities, both institutions agree to establish an exchange program for students and faculty that will allow students enrolled in a graduate program at their home university to take courses at the host university in order to fulfill part of the required credits of his/her home university, or to obtain a dual degree, in the case of doctoral students.

1. STUDENT EXCHANGE

1.1 The student exchange program between UNICAMP and Rice aims to train researchers at the post-graduate level at both universities in accordance with the best academic practices found in both Brazilian and U.S. universities, and it implies the principle of reciprocity.

1.1.1. Both institutions are committed to promoting the exchange program among its students, creating opportunities for the exchange of information through participation in conferences, seminars, research development, etc.

1.1.2. When both History departments agree to grant a dual doctoral degree, its formal process will have been determined individually for each student, in accordance with the Agreement for the International Co-Supervision of Theses and a Dual Doctoral Diploma, see attached.
1.2. In order to participate in this exchange program, the graduate student must:

1.2.1. be enrolled in a graduate program in the university of origin;
1.2.2. have sufficient command of the language of the country of destination or be enrolled (or have completed) a course in that language;
1.2.3. be recommended by the coordinator of the graduate program in university of origin.
1.2.4. have an advisor in the university of origin and a co-advisor in the host university.

1.3. A student participating in this exchange program will:

1.3.1. complete an agenda of academic activities drawn up by both thesis advisors and the student, which may include the dual doctoral degree, as appropriate, and following the Agreements established, as stated above in 1.1.2;
1.3.2. satisfy all of the specific academic requirements established by the university of origin and the host university, and following the Agreements established, as stated above in 1.1.2.

1.4. The number of students to be enrolled in the exchange program each academic year will be determined by mutual agreement between the coordinators of the graduate programs of both history departments, based on the number of eligible applicants, and not to exceed a maximum of four students. Every effort will be made to achieve parity in the number of students exchanged, but it is recognized that small imbalances may occur periodically.

1.5. The residence abroad at the host university will last for one year and may be extended for one additional semester. The academic year of UNICAMP begins in March and ends in December. Rice’s academic year begins in August and ends in May.

1.6. The exchange students will remain enrolled in their graduate program of origin or they will be granted special permission that will allow them to meet the curricular and thesis defense deadlines of both institutions. Students will be enrolled in the graduate program of the host university (provided they fulfill the minimum requirements necessary for admission).

1.7. Rice University is accredited by the Southern Association of Colleges and Schools’ Commission on Colleges to award the Ph.D. degree. The Universidade Estadual de Campinas (UNICAMP) is not accredited by the Commission on Colleges and the accreditation of Rice University does not extend to or include UNICAMP or its students. Although Rice University accepts certain course work in transfer toward a credential from UNICAMP, or collaborates in other ways for generation of course credits or program credentials, other colleges and universities may or may not accept this work in transfer, even if it appears on a transcript from Rice University. This decision is made by the institution subsequently considering the possibility of accepting such credits.
1.8 UNICAMP is accredited by the Coordenação de Aperfeiçoamento de Pessoal de Nível Superior (CAPES) to award the Ph.D. degree. Rice University is not accredited by CAPES and the accreditation of UNICAMP does not extend to or include Rice University or its students. Although UNICAMP accepts certain course work at Rice, complying with the provisions of the Deliberação CONSU-A-08, of March 25, 2008 (Unicamp – Brasil), other universities may or may not accept this work in transfer, even if it appears on a transcript from UNICAMP.

1.9. Each student participant in the exchange program will be responsible for the expenses related to transportation, accommodation, meals, and personal expenses through direct subsidies or scholarships from national and international agencies. No tuition will be charged by the host university.

2. ACADEMIC PERSONNEL (FACULTY AND RESEARCHERS) EXCHANGE

2.1. The objective of the academic personnel exchange program between UNICAMP and Rice is the development of research on topics of common interest, as well as the enhanced exchange of information and ideas, and the training of researchers at the graduate level at both universities.

2.1. This exchange program may be developed in various ways, such as:

- Presentation of lectures by a professor / researcher from the partner universities,
- Direct exchange of ideas between colleagues working in specific areas of research,
- Participation in scientific meetings and events organized by the partner university,
- Joint publication of scientific papers related or not to joint research projects,
- Visiting research or teaching placements (short or long term) in the partner university,
- Rice – UNICAMP Research Seminars

2.2. The RICE-UNICAMP Research Seminars will involve faculty and graduate students and will focus on topics of common research and / or mutual interest

2.2.1. The theme of each seminar will be decided one year in advance by members of both departments in order to encourage the participation and interaction of the largest number of professors, students, and researchers of both institutions;

2.2.2. The universities will alternate in hosting the seminar
2.2.3. The cost of transportation for participants will be borne by their home universities, directly or through scholarships and other assistance, leaving the host institution responsible for accommodation and meals for participants.

3. ORGANIZATION AND IMPLEMENTATION

3.1 Each university will appoint an academic coordinator responsible for implementing the exchange program for students and professors, as well as the organization of the Research Seminars between Rice and UNICAMP.

3.2. Both parties shall consult each other whenever they deem necessary and shall meet at least once a year to discuss program development and assess its progress to date.

3.3. In all international movements, participants should plan to purchase a life insurance plan and medical insurance, including repatriation, according to the standards set by both universities. The cost of health insurance should be covered by the participant or by the home university.

3.4. This agreement will be signed in two copies of equal content and form in English and Portuguese versions. Both versions of this document have equal validity. Each university shall retain a copy of each version of the document.

3.5. This agreement shall become effective for a period of three years from the date of the last signature, on the understanding that subject to review and mutual agreement it may be renewed for another term of five years upon each expiry. However, it may also be terminated by either party giving six months' prior notice to the other party in writing.

Data: ________________________  Date: ________________________

__________________________________________________________

Prof. Dr. Fernando Ferreira Costa  Prof. Dr. David Leebron
Universidade Estadual de Campinas  Rice University
Reitor  President
AGREEMENT FOR THE INTERNATIONAL CO-SUPERVISION OF THESES
AND A DUAL DOCTORAL DIPLOMA

BETWEEN

THE STATE UNIVERSITY OF CAMPINAS (UNICAMP), located at Cidade Universitária Zeferino Vaz, Barão Geraldo, CEP 13083-970, Campinas, São Paulo, Brazil, represented by its Vice-Chancellor for Graduate Studies, Prof. Euclides de Mesquita Neto, and the Graduate Program of the Institute of Philosophy and Human Sciences at UNICAMP, represented by its Coordinator, Prof. Dr. Omar Ribeiro Thomaz.

and

RICE UNIVERSITY, located at 6100 Main St. Houston, TX 77005 USA, represented by Prof. Paula Sanders, its Vice Provost, and Dean of Graduate and Postdoctoral Studies and by the Dean of Humanities, Prof. Nicolas Shumway.

Accept by mutual agreement the following provisions defined in the context of the establishment of a procedure for a dual degree program with the co-supervision of a doctoral thesis between two higher education institutions, one Brazilian, and the other American.

Preamble:

The procedure for the co-supervision of doctoral theses hereby established between UNICAMP and Rice University aims to create and develop scientific cooperation in order to promote the mobility of doctoral students at both institutions, with the goal of obtaining two doctoral diplomas, a Ph.D. in History from Rice University and a Doutor de História from UNICAMP, and implies the principle of reciprocity.

Each participating student in this program will have a home institution and a host institution.

General Clauses:

Article 1

The conditions of registration, of admission, of thesis defense, within the context of the procedure for co-supervision with a dual doctoral degree, shall be governed by the rules and procedures of Rice University and by the Ruling CONSU-A-08, dated March 25, 2008 (Unicamp - BRAZIL), for students enrolled at UNICAMP.

Article 2

Doctoral students in the two institutions of higher education (Rice University and UNICAMP) who are admitted to the dual degree program shall be considered concurrently enrolled at the partner institution, which undertakes not to request any application fees from these students.

Article 3
The home university will be responsible for testing foreign language skills for students participating in this program of co-supervision with a dual doctoral degree. The language used at UNICAMP is Portuguese and the language used at Rice University is English.

Article 4

Candidates for the dual Ph.D. degree will carry out their course work and doctoral dissertation research under the orientation and responsibility of a thesis advisor in each of the two establishments.

Article 5

The two thesis advisors will be responsible for fully exercising their responsibilities as advisors together with the doctoral candidate. Together they should draw up a program of study for the student so that he/she completes all the courses and credits needed to obtain a doctoral degree at the home institution and also completes additional courses and credits deemed necessary at the host institution.

Article 6

The responsibilities given to the thesis advisor by the policies of Rice University that govern graduate study, and by the Ruling CONSU-A-08, March 25, 2008 (Unicamp - Brazil), shall be exercised jointly by both thesis advisors.

Article 7

The time spent in coursework and in doctoral thesis research will be alternated between the two universities, in accordance with the program of study established by both advisors.

Article 8

Performance reviews and all comprehensive examinations prior to the defense of the doctoral thesis will be held at the home institution and will follow the rules and procedures of that institution.

Article 9

The protection of the doctoral thesis topic, as well as its publication, and the use and protection of research results common to both institutions hosting doctoral students should be provided in accordance with the specific legislation of each country.

Article 10

The doctoral thesis will have a single defense recognized by the two institutions, following which, if approved, both institutions agree to grant the degrees: Ph.D. in History from Rice University and Doutor em História from UNICAMP.

Article 11

The defense committee, appointed by the partner institutions, will be composed of five full professors, among whom are the two thesis advisors.
Article 12

The thesis presented in co-supervision will be written in the language of the country where it is defended, and it will be supplemented by a written summary of the major findings of the dissertation in the language of the country of the host institution, equivalent in style, scholarship and length to an academic journal article.

Article 13

Before the defense, the thesis and its respective summary will have been previously presented and evaluated by a faculty panel at the host institution consisting of three professors (the advisor at the host institution and two additional faculty members). A review of the findings of this faculty panel will be presented by the advisor of the host institution at the defense.

Article 14

The thesis will be defended in English at Rice University or Portuguese at UNICAMP.

Article 15

Rice University is accredited by the Southern Association of Colleges and Schools’ Commission on Colleges (SACSCOC) to award the Ph.D. degree. The Universidade Estadual de Campinas (UNICAMP) is not accredited by the Commission on Colleges and the accreditation of Rice University does not extend to or include UNICAMP or its students. Although Rice University accepts certain course work in transfer toward a credential from UNICAMP, or collaborates in other ways for generation of course credits or program credentials, other colleges and universities may or may not accept this work in transfer, even if it appears on a transcript from Rice University. This decision is made by the institution subsequently considering the possibility of accepting such credits.

Article 16

UNICAMP is accredited by the Coordenação de Aperfeiçoamento de Pessoal de Nível Superior (CAPES) to award the Ph.D. degree. Rice University is not accredited by CAPES and the accreditation of UNICAMP does not extend to or include Rice University or its students. Although UNICAMP accepts certain course work at Rice, complying with the provisions of the Deliberação CONSU-A-08, of March 25, 2008 (Unicamp – Brasil), other universities may or may not accept this work in transfer, even if it appears on a transcript from UNICAMP.

Article 17

This agreement of co-supervision of doctoral theses with a dual doctoral degree will last five years and is automatically renewable, unless one of two establishments expresses otherwise. Notice to terminate will be given three months in advance, thus ensuring continuity for ongoing co-supervision. For each student, the conditions of registration will be defined in an amendment to this document.
Campinas, __, de ___ de 201__.

Prof.Dr. Euclides de Mesquita Neto
Pró-Reitor de Pós-Graduação

Prof.Dr. Omar Ribeiro Thomaz
Coordenador da CPG-IFCH

Houston, ___ of ________ 201__.

Professor Paula Sanders
Vice Provost and Dean of Graduate Studies
and Postdoctoral Studies

Professor Nicolas Shumway
Dean of Humanities
AGREEMENT FOR THE CO-SUPERVISION OF A THESIS
WITH A DUAL DOCTORAL DEGREE

SPECIFIC ARRANGEMENTS AND REQUIREMENTS FOR

_______________________________________

(Student name)

Enrollment:

The student ____________________, candidate for a dual diploma with a co-supervised doctoral thesis:

- Has applied to enroll at UNICAMP on ----/----/----- for a period not exceeding five years, during which time he/she shall have the right to remain enrolled;

- Has applied to enroll at Rice University on ----/----/--- for a maximum period of one and one half years. The arrangements and requirements for applications for enrollment are those stipulated in the policies of Rice University for international students and the graduate program of the History Department, and the Ruling CONSU-A-08, dated March 25, 2008 (UNICAMP - Brazil).

Insurance Coverage:

Insurance coverage shall be guaranteed as follows:

- During his/her stay in the United States, the student____________ shall be covered by voluntary insurance paid for by the Brazilian government agency that awarded him/her the fellowship or, if such insurance is not included in the fellowship, he/she must make his/her own arrangements for insurance coverage. Repatriation must be included in the insurance coverage.

- During his/her time at UNICAMP, the student _________________shall be covered under an international insurance program recommended by Rice University and/or the Brazilian Social Security System.

Time spent at each institution:

The doctoral student shall divide the time he/she spends on coursework and research as follows:

At UNICAMP:

________________________________________________________________________________
________________________________________________________________________________
________________________________________________________________________________

At Rice University:

________________________________________________________________________________
________________________________________________________________________________
Supervision of the Doctoral Thesis:

The thesis shall be co-supervised by:

- Prof. Dr. ________________________
  (Professor, Department of History, IFCH, UNICAMP)

- Prof. Dr. ________________________
  (Professor, Department of History, School of Humanities, Rice University)

who together shall be responsible for carrying out in full their duties as thesis advisor for the
doctoral student __________________ (student name).

Financial Support:

In Brazil, the doctoral student __________________ (student name) shall be the recipient of a
________________________ fellowship from ________________ (USA);

In the United States, the doctoral student __________________ (student name) shall be the
recipient of a __________________ fellowship from _________________ (Brazil).

Details of the Defense:

If the thesis shall be defended in Brazil:
- The thesis will be written in Portuguese, and will be accompanied by a summary of the major
  findings of the dissertation in English, equivalent in style, scholarship and length to an academic
  journal article. The thesis will be defended in Portuguese at UNICAMP.

If the thesis shall be defended in the United States:
- The thesis will be written in English, and will be accompanied by a summary of the major findings
  of the dissertation in Portuguese, equivalent in style, scholarship and length to an academic journal
  article. The thesis will be defended in English at Rice University.

The examining thesis committee, appointed by the partner universities, will consist of five full
professors, two of whom shall be the thesis advisors.

Before the defense, the thesis and its respective summary will have been previously presented and
evaluated by a faculty panel at the host institution consisting of three professors (the advisor at the
host institution and two additional faculty members). A review of the findings of this faculty panel
will be presented by the advisor of the host institution at the defense.

Awarding of a Degree:

Based on a single thesis defense report, each university shall undertake to award: Doutor em
História from UNICAMP and the Ph.D. in History from Rice University.

Protection and Publication:

- The protection of the subject matter of the doctoral thesis and the publication of the thesis,
as well as the protection and use of research results common to both institutions at which the
doctoral student is studying, must be provided in accordance with specific procedures in
each country.
Campinas, __, de ___ de 201__.

_____________________________
Prof.Dr. Euclides de Mesquita Neto
Pró-Reitor de Pós-Graduação

_____________________________
Prof.Dr. Omar Ribeiro Thomaz
Coordenador da CPG-IFCH

Houston, __ of ___ 201__.

_____________________________
Professor Paula Sanders
Vice Provost and Dean of Graduate Studies
and Postdoctoral Studies

_____________________________
Professor Nicolas Shumway
Dean of Humanities

________________________________
Student: _________________________  ___________________________________

(place, date)

Student ID # _________________________

Permanent Address:
____________________________________
____________________________________
____________________________________

email: _________________________
MEMO

To: Graduate Council and Faculty Senate
From: Lora Wildenthal, Chair, Department of History
Date: 14 August 2012
Re: proposal for a dual PhD degree in History – Rice and Campinas

I am writing to convey the History Department’s strong support for the full proposal for a dual PhD degree in History to be offered by Rice and the Universidade Estadual de Campinas in Brazil.

This is an exciting new path for our department’s graduate program that builds on our existing strengths. We have already made great steps to diversify the offerings of our graduate program. Currently, twenty of our department’s 25 faculty are active in one way or another in the graduate program. Our brochure, available at history.rice.edu under “Graduate Study,” lays out our current areas of graduate study.

We are very grateful and proud that Prof. Alida Metcalf and other faculty and staff have brought the proposal to this point. Their initiative and careful work are exactly what any of us faculty at Rice would hope for whenever a new degree offering is to be developed.

The resulting full proposal is not only the first of its kind at Rice University, but also, in my view, a model for future proposals to follow. The goals are realistic, the benefits will be widely shared, and the costs are well-justified and also widely shared.

I hope very much that this proposal will meet with your approval. The History Department is eager to inaugurate this degree.
Dear Professor Metcalf,

It is with great enthusiasm that I support your proposal to initiate a dual Ph.D. in History between Rice University and the Universidade Estadual de Campinas (UNICAMP), Brazil.

The reasons for my enthusiasm are twofold: your proposed program is intellectually compelling for Rice and it is sustainable.

More specifically, your presentation has convinced me that your proposed program brings value to Rice that we don’t currently have, such as being able to access resources and perspectives on Brazilian history that only a local institution can provide. I have no doubt that it would indeed enrich the graduate education of our students through the proposed research seminar and the close ties between Rice and UNICAMP faculty that the program will foster.

Furthermore, your proposal is in direct alignment with Rice’s strategic priorities to develop a sustained presence in Latin America in general, and in Brazil in particular.

Your observation that such a program would be the first of its kind between a US institution and a prestigious Brazilian university is also compelling, as it will provide Rice with a unique academic signature and a significant competitive advantage.

Finally, you have made a convincing case that your proposed program is both feasible and sustainable: both faculty in your department and school and administrators have expressed their strong commitment; the associated costs are reasonable and are all underwritten by various constituencies on campus; the format that you are proposing, that of a dual degree, is appropriate as it builds on the sustained relationships that Rice has with UNICAMP without the administrative burden that a joint program could generate.

I think that your proposal deserves strong support by our academic community, and I hope that it will be approved by the Graduate Council and the Faculty Senate.

Sincerely yours,

Paula Sanders
Dean of Graduate and Postdoctoral Studies
August 13, 2012

Dear Colleagues:

The proposal for a dual PhD program in history between Rice University and Brazil’s Universidade Estadual de Campinas has my complete and whole-hearted support. With minimal fuss and expense, this program will place Rice at the forefront of North American universities in Brazilian history. It will also bring to Rice excellent Brazilian graduate students who will profit enormously from Rice’s strengths and resources in US history.

In sum, I love this proposal and will do whatever I can to ensure its success.

Sincerely,

Nicolas Shumway
Dean of Humanities
Rice University
Subject: Rice UNICAMP Dual Degree Proposal  
From: Casey Akins <cakins@rice.edu>  
Date: 8/14/2012 12:22 PM  
To: acm5@rice.edu  
CC: John Cornwell <jmc4@rice.edu>

Dear Dr. Metcalf,

It has been a pleasure working with you on the Rice UNICAMP Dual Degree Proposal. I am writing to confirm that our office has participated in the proposal review, with particular attention paid to the development of the assessment plan and the determination of SACS requirements for this degree program. All such matters being resolved, we offer our endorsement of the proposal.

Please let us know if we can be of further assistance in this or other related matters.

Best,
Casey Holland Akins  
Director of Academic Program Effectiveness  
Office of Institutional Effectiveness  
Rice University  
514 Fondren Library  
office: 713-348-2064  
cell: 281-827-4244
Subject: Dual Degree Program in History

From: Kathy Collins <kcollins@rice.edu>
Date: 8/17/2012 7:17 AM
To: "Olson, John" <olson@rice.edu>
CC: "met >> Alida Metcalf" <acm5@rice.edu>, "sanders >> Paula Sanders" <sanders@rice.edu>, Sharon Scoppa <scoppa@rice.edu>

John,

I am writing to report that the Budget Office and I have reviewed the budget for the dual degree program and confirm that the plan is balanced. The budget plan calls for financial support from the School of Humanities, the Office of Graduate and Post-Doctoral Studies and the central administration (for medical insurance subsidy and tuition waivers) and takes into account costs covered by UNICAMP.

We approve the budget plan.
Kathy

******************************************************************************
Katherine E. Collins
Vice President for Finance
Rice University
P.O. Box 1892
Houston, Texas 77251
Phone: 713-348-5147
Fax: 713-348-5497
Email: kcollins@rice.edu
******************************************************************************